



PATENTS
Attorney Docket No. CF-55

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Carlton Bartels et al.
Application No. : 09/967,272 Confirmation No. : 6788
Filed : September 28, 2001
For : ELECTRONIC TRADING SYSTEM FOR SIMULATING
THE TRADING OF CARBON DIOXIDE EQUIVALENT
EMISSION REDUCTIONS AND METHODS OF USE
Group Art Unit : 3624
Examiner : Sandra S. Snapp

New York, New York 10020
March 9, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

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GROUP 3600

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;
☒ a Reply to Office Action; ☐ a Supplemental Amendment;
☐ a substitute Specification; ☐ a Declaration; ☐ a
Supplemental Declaration; ☐ a Power of Attorney; ☐ an
Associate Power of Attorney; ☐ formal drawings; to be filed
in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.
☐ A fee for additional claims is required.

Express Mail No.
EV079960404US

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	* =	X \$ 18 =	\$
INDEPENDENT CLAIMS	-	** =	X \$ 86 =	\$
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$290 =	\$

* If less than 20, insert 20.

TOTAL \$

** If less than 3, insert 3.

[] A check in the amount of \$ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

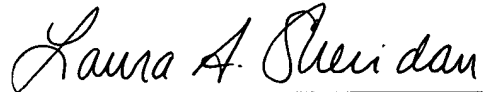
[X] The following extension is applicable to the Response filed herewith; [X] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$420.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [] \$1,480.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); [] \$2,010.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

[X] A check in the amount of [X] \$110.00; [] \$420.00; [] \$950.00; [] \$1,480.00; [] \$2,010.00 in payment of the extension fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge the [] \$110.00; [] \$420.00; [] \$950.00; [] \$1,480.00; [] \$2,010.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



Laura A. Sheridan
Registration No. 48,446
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000
Fax : (212) 596-9090



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EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV079960404US

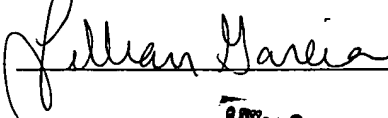
Date of Deposit: March 9, 2004

I hereby certify that this certification and the following papers:

1. Transmittal Letter for Reply to Office Action (in duplicate);
2. Reply to Office Action;
3. Transmittal Letter for Supplemental Information Disclosure Statement (in duplicate);
4. Supplemental Information Disclosure Statement;
5. Form PTO-1449 (in duplicate);

6. Copies of sixty-two (62) cited references;
7. A check in the amount of \$180.00 in payment of the fee set forth in 37 C.F.R. § 1.17(p); and
8. A check in the amount of \$110.00 in payment of the one-month extension of time

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.


Name: Julian Garcia